

Privacy Notice

of

LGT Bank AG, Dublin Branch

Introduction

This privacy notice ("**Notice**") is addressed to employees of LGT Bank AG, Dublin Branch (the "**Company**", "**we**", or "**us**"). The terms "**you**" and "**your**" shall refer to you as an employee of the Company.

The Notice refers to our use of your data provided that such processing falls within the scope of the EU General Data Protection Regulation (Regulation 2016/679, "**GDPR**").

For the purpose of the Notice, "**Data**" shall mean any information relating to you as an identifiable natural person. Because the Company determines the purposes and means of the use of your Data, the Company shall be regarded as data controller in the meaning of GDPR.

Whom this Notice concerns

If you are an employee of the Company, the Notice is directly addressed to you. In the course of your employment with the Company, it may also be necessary for us to process data of persons related to you such as spouses, parents or children. We therefore kindly ask you to inform such related persons of the content of the Notice. For the purposes of this Notice, an "employee" shall include permanent, fixed term and part-term staff, consultants and agency workers.

The Data we collect and process

In connection with your employment with the Company, we collect and process, or might at some point in the future collect and process, certain Data. Depending on the circumstances, such Data may include:

names, residential and email addresses, telephone numbers, place and date of birth,

gender, marital status, names and contact details of related persons such as spouses, children or parents, nationalities, educational background, reference letters, banking relationships including, in particular, numbers of your bank account(s), tax identification number, social security number, information regarding your salary including bonus and other salary components, evaluation of your performance, results of job assessment tests, certain financial information, time recording, holidays, sick days and/or any other absences, your signature specimen, passport copies, your picture(s), information on continuing education, directorships and certain other external mandates and/or non-vocational activities (e.g. lectureships), any correspondence with you in connection with any of the above items.

Purposes and legal basis of our use of your Data

We process, or might at some point in the future process, your Data for the following purposes and based on one of the following legal basis:

Performance of the employment contract

- i) names, addresses, date and place of birth, gender, nationalities, educational background, etc. for the conclusion of your employment contract, establishment of your employee file and/or for correspondence (e.g. salary statements);
- ii) your banking relationship(s) for executing payments of your salary, bonus, any kind of fringe benefits and/or reimbursements of expenses, etc.;
- iii) evaluations of your performance in order to, inter alia, enhance your professional development and determine your compensation;

- iv) marital status for tax purposes;
- v) holidays, sick days, time recording in order to keep track on the fulfilment of the contract with regard to these matters;
- vi) your picture(s) for the intranet.

- ii) and your photos may be disclosed to prospective investors; occasionally, investors also require information on former key employees which is why we might disclose the abovementioned information after your departure of the Company.

Compliance with a legal obligation

- i) your tax and social security information for meeting our duties under the respective laws;
- ii) if you are not a national of the country where the Company has its registered office, your nationalities for meeting our duties under the applicable immigration laws;
- iii) certain financial information if required by regulatory authorities in order to assess your compliance with applicable laws and regulations;
- iv) your educational background, industry experience and/or similar information that might be required by regulatory authorities in order to assess your qualifications for the function you occupy at the Company.

Legitimate interests

In order to remain competitive in its business sector, the Company is required to meet the expectations of investors and business associates and to live up to established market standards. We may use your Data in connection with the following processes:

- i) most prospective investors request detailed information of key employees. Such Data serves as a basis for the decision making process of investors when assessing whether they wish to invest in a product or service of the Company. It is therefore paramount for the success of the Company to provide possible investors with such information. In this context, Data such as your names, age, nationalities, languages spoken, educational background, industry experience, LGT internal titles (e.g. Partner, Principal), office location

To whom we transfer your Data

In the course of your employment with the Company, we might transfer your Data to categories of recipients such as pension funds, insurances, payroll providers, tax authorities, immigration authorities, regulatory authorities and any company within the LGT Group, i.e. companies under the common control of LGT Group Holding Ltd.

In certain circumstances, such recipients may be located outside of the European Economic Area in which case we will ensure that adequate safeguards with regard to data protection are in place, such as reliance on adequacy decisions or use of model contract clauses approved by the European Commission.

In addition to the above, for, in particular, mandatory regulatory purposes, security purposes, service delivery purposes and business continuity purposes, our service providers (including service providers to the LGT funds) may, from time to time, process your Data. For this purpose, the relevant service provider is acting as a data controller within the meaning of GDPR. Employees should consult the privacy notice of the relevant service provider, obtainable on their website, for further details in relation to such use of your Data by the service provider and your associated rights.

Your rights

Under GDPR, you have the following rights regarding our use of your Data:

- the right to access your Data and to be informed of, inter alia, the purpose of our use of your Data, the categories of Data concerned, the recipients to whom we disclose your Data;

- the right to obtain from us without undue delay the rectification of inaccurate Data concerning you;
- under the circumstances defined by GDPR, the right to obtain from us without undue delay the erasure of your Data. By way of example, you may request erasure if your Data are no longer necessary in relation to the purposes for which they were collected;
- under certain circumstances, in particular if you contest the accuracy of the Data we use, the right to obtain from us restriction of processing of your Data;
- where we rely on our legitimate interests to use your Data and provided that you can claim overriding interests, the right to object at any time to our use of your Data;
- the right to object at any time to our use of your Data where our processing is based on legitimate interests, unless we can demonstrate compelling legitimate grounds for the processing which override your interests;
- where your consent for the processing of your data is required pursuant to GDPR, the right to withdraw your consent at any time;
- the right to data portability where processing is carried out by automated means and based on either the performance of a contract or, where applicable, your consent;
- the right to lodge a complaint with our lead supervisory authority in Liechtenstein:

Datenschutzstelle Liechtenstein
 Städtle 38
 Postfach
 9490 Vaduz

Liechtenstein
 Telephone: +423 236 60 90
 E-Mail: info.dss@llv.li

You may also lodge a complaint to your local supervisory authority within the EEA.

For how long will your Data be stored

We may retain your personal data for a period of up to seven years from the point where your employment with the Company has ceased. The exact duration of the retention period depends on, inter alia, applicable laws that provide for retention periods of certain Data or our possible legitimate interests, in particular where our continued use of your Data is necessary for the establishment, exercise or defence of legal claims. In the latter case, the retention period might be extended beyond the abovementioned period.

Failure to provide personal data

Your failure to provide us the Data we request will lead to our inability to perform the employment contact we entered into with you.

Getting in touch

If you wish to assert your rights under GDPR, to learn more about how we process your Data, to report a data breach, our Data Protection Officer can be contacted at:

LGT Gruppe Holding AG
 Datenschutzbeauftragter
 Herrengasse 12
 9490 Vaduz
 Liechtenstein
 Telefon: +423 235 11 22
 E-Mail: lgt.datenschutz@lgt.com