



Secrecy obligations – List of relevant regulations

Valid from April 2019

Breaches of the following statutory regulations may result in criminal proceedings punishable with a fine and/or imprisonment depending on the severity, and/or in claims for damages. LGT reserves the right to amend this list and to publish the current version on this website (see date at start of the list).

Country	Provision	Act	Website
Liechtenstein	Banking secrecy	Art. 14, 63 and 64 Banking Act	http://www.gesetze.li/
Liechtenstein	Data secrecy	Art. 26 and 42 Data Protection Act	http://www.gesetze.li/
Liechtenstein	Commercial secrecy	Art. 123 and 124 Criminal Code	http://www.gesetze.li/
Liechtenstein	Harmful market practices	Art. 23 ff. Market Abuse Act	http://www.gesetze.li/
Switzerland	Banking secrecy	Art. 47 Banking Act	http://www.admin.ch/
Switzerland	Data secrecy	Art. 35 Data Protection Act	http://www.admin.ch/
Switzerland	Manufacturing and trade secrecy	Art. 162 Criminal Code	http://www.admin.ch/
Switzerland	Harmful market practices	Art. 142, 143, 154, 155 FMIA	http://www.admin.ch/
Austria	Banking secrecy	Art. 38 and 101 Banking Act	http://www.jusline.at/
Austria	Data secrecy	Art. 6, 62 and 63 Data Protection Act	https://www.ris.bka.gv.at/
Austria	Commercial secrecy	Art. 119a, 122, 123 and 124 Criminal Code	https://www.ris.bka.gv.at/
Austria	Harmful market practices	Art. 154, 163 and 164 Stock Exchange Act	https://www.ris.bka.gv.at/
Ireland	Data protection	The General Data Protection Regulation (2016/679) Data Protection Act 1988, Regulations 21, 22 and 31 and Data Protection (Amendment) Act, 2003, Regulation 19, Data Protection Act 2018. ePrivacy Regulations (Regulation 2016/679)	http://www.irishstatute-book.ie/
Ireland	Harmful market practices	Market Abuse (Directive 2003/6/EC) Regulations 2005, Regulations 5 ff. European Union (Market Abuse) Regulations 2016 Market Abuse Rules 2016	http://www.irishstatute-book.ie/
United Kingdom	Data protection	Data Protection Act 1998	http://www.legislation.gov.uk/
United Kingdom	Harmful market practices	Market Abuse (Regulation (EU) 596/2014)	https://www.fca.org.uk/
Singapore	Banking secrecy	Banking Act (Chapter 19), Section 47 (Privacy of customer information)	https://sso.agc.gov.sg
Singapore	Data protection	Personal Data Protection Act 2012, Section 24 (Protection of personal data)	https://sso.agc.gov.sg
Singapore	Harmful market practices	Securities and Futures Act (Chapter 289), Sections 197 to 231	https://sso.agc.gov.sg
Hong Kong	Data protection	Personal Data (Privacy) Ordinance, (Cap 486), Schedule 1, Data Protection Principle 4	http://elegislation.gov.hk/
Hong Kong	Harmful market practices	Securities and Futures Ordinance (Cap 571), Part XIII Sections 270, 274 to 278 and Part XIV Sections 291, 295 to 299	http://elegislation.gov.hk/
Dubai	Data protection law	Data Protection Law, Article 15	http://www.difc.ae/